



Luminescence Nanothermometry with Lanthanides: Principles, Applications and Challenges

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NanoTBtech

- NanoTBtech is a EU-funded Future and Emerging Technologies-Open (FET-Open) project (grant agreement no.: 801305)
- Goal: development of novel 2D thermal bioimaging techniques using luminescence thermometry as a key technique.
- Check out the website:

http://www.nanotbtech.eu/

Important task: Outreach



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in-vitro

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Ideal for Luminescence thermometry:

Lanthanides



Lanthanides comes from the Greek word " $\lambda \alpha \nu \theta \alpha \nu \epsilon_{\nu}$ " which means "to lie hidden" – hidden position at the bottom of the periodic table:



Ln³⁺ $1s^2 2s^2 2p^6 3s^2 3p^6 3d^{10} 4s^2 4p^6 4f^n 5s^2 5p^6$ n = 0-14

Introduction Lanthanides

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1 – Energy levels lanthanides

Characteristic luminescence properties due to energy levels arising from interaction between 4f-electrons in partly filled 4f-shell, shielded from the surrounding ligands by filled 5s and 5p orbitals.

Example: $Tb^{3+} (4f^8) - 3003$ possible electronic configurations for 8 electrons in 14 orbitals, which can all have different energies. Energy levels are labeled by so-called term symbols: 30,000 J





Emission spectrum Tb³⁺

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Characteristic f-f luminescence of lanthanides:

- Sharp, atomic like, emission lines
- Independent of matrix
- Long emission life times (ms)
- High quantum yield (>90%)







Crystal Field Splitting

Degeneracy is 2J + 1



Shielding 4f orbitals = No influence surrounding ligands for energy levels lanthanides (different from 3d transition metals)

2

Radial distance (nm)

3

0



Pr₂(CO₃)₃

Shielding!

3

4

2

Radial distance (nm)

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0











Book by Gerhard Dieke - 1968

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Dieke diagram with $^{2S+1}L_{I}$ term symbols



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Every free ion energy level can split in (2J+1) or (2J+1)/2 crystal field components.

Sharp line emission from these energy levels provides a multitude of coupled levels that can serve for luminescence thermometry.

Choice in:

- Spectral window (UV VIS NIR)
- Energy separation
- Combinations of ions (for energy transfer schemes)

This is what we will work with! Many options for thermometry



4fⁿ-4fⁿ transitions in shielded 4f inner shell → ΔR = 0 – scharp zero-phonon (0-0) absorptionand emission lines for lanthanides

 $\Delta R \neq 0$ – vibronic broadening of absorption and emission bands



2 – Radiative decay 4f-4f transitions

Transitions within the 4fⁿ configuration are parity forbidden – no change in dipole moment for 4fⁿ-4fⁿ transition.

So why is f-f emission observed?

1962

- Two publications: one by Judd, one by Ofelt indepently developed theories for transitions probabilities between J multiplets of lanthanide ions. Driving force behind Ofelt: Wybourne
- Mechanism: forced electric dipole transitions induced by admixture of opposite parity states
- Trick: Closure approximation assuming a single opposite parity state at a constant energy difference for all multiplets, makes it easier to calculate transition probabilities.



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Sunday June 22, 2003 a historical meeting took place in Lądek Zdrój, Poland:



Brian Judd

George Ofelt

Brian Wybourne



Judd-Ofelt theory

Complicated mathematics – simple to use

$$S_{\text{ed}} = \sum_{\lambda=2,4,6} \Omega_{\lambda} \left| < f^{n}[\gamma, S, L] J || U^{(\lambda)} || f^{n}[\gamma', S', L'] J' > \right|^{2},$$

Calculation of transition probabilities A:

$$A = \frac{64 \pi^4 e^2 n(n^2 + 2)^2 \nu^3}{27 h (2J + 1)} S_{\text{ed}},$$



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Simple form:

$$A' \propto
u^3 \sum_{\lambda=2,4,6} \Omega_\lambda \left(U^{(\lambda)} \right)^2,$$

Judd-Ofelt parameters: Ω_{λ} are fitting parameters that describe the admixture of the opposite parity state. The Ω_{λ} parameters **depend** on the host lattice! The Ω_{λ} parameters increase with higher covalency, closer position opposite parity state, larger deviations from inversion symetry.....

The (squared) reduced matrix elements $U^{(\lambda)}$ can be calculated for all transitions between *J*-multiplets. The reduced matrix elements are independent on the host lattice and have been tabulated for many transitions by Carnall&Crosswhite in the 1970's ('Blue Book'). Also, various websites can now be accessed for (more accurate) reduced matrix elements and Judd-Ofelt analysis.



- Predict transition probabilities (absorption strengths or transition rates) by fitting Ω_λ for a number of measured lines
- Calculate optimum branching ratios for desired from calculated (and tabulated) U^(λ) assuming optimum values for the Judd-Ofelt parameters
- General: stronger transitions for $\Delta J = 0, 2, 4, 6 \pmod{0}$
- Optimize thermometer performance by selecting favorable radiative decay rates/transition probabilities for emission lines in ratiometric luminescence temperature sensing.

3 – Non-Radiative decay 4f-4f transitions



Non-radiative 4f-4f decay occurs to the next lower 4f level by 'multi-phonon relaxation'. The energy gap is bridged by conversion into vibrational energy through energy transfer to a vibrational overtone of nearby vibrational system.



Rules non-radiative decay:

- Energy gap law:

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 $W_{\rm nr} = A \exp(-\alpha \Delta E) = A \exp(-\beta p).$

p = number of phonons needed
to bridge gap

 β = maximum phonon energy

- **5** phonon rule: if ΔE larger than five times the max phonon energy, radiative decay dominates. If less than five times max phonon energy, non-radiative decay dominates \rightarrow efficient emission from energy levels with large gaps (e.g. Eu³⁺⁵D₀ and Tb³⁺⁵D₄).

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Temprature dependence of non-radiative relaxation rate



temperature (K)

$$W_{nr} = (1+n)^p$$

n – phonon occupation number

 $n = 1/(e^{(E_{ph}/kT)}-1)$

thermal effects most important for low energy phonons (bromides, chlorides, fluorides) not in borates, phosphates (at least not up to RT)!

4 – Energy transfer

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Single step energy transfer



Ε

General equation donor-acceptor energy transfer rate:

$$W_{\mathrm{DA}} = \frac{2\pi}{\hbar} |\langle \mathbf{D}, \mathbf{A}^* | \mathscr{H}' | \mathbf{D}^*, \mathbf{A} \rangle|^2 \int g_{\mathrm{D}}(E) g_{\mathrm{A}}(E) \mathrm{d}E$$

Transfer rate = interaction D-A x spectral overlap integral

Critical distance for energy transfer R₀:

Distance at which transfer rate from donor to acceptor is equal to the (radiative) decay rate of the donor.



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Dipole-dipole interaction (Förster ET)

$$W_{\mathrm{DA}}^{\mathrm{dd}} = \left(\frac{1}{4\pi\varepsilon_0}\right)^2 \frac{3\pi\hbar e^4}{n^4 m^2 \omega^2} \frac{1}{R^6} f_{\mathrm{D}}(\mathrm{ED}) f_{\mathrm{A}}(\mathrm{ED}) \int g_{\mathrm{D}}(E) g_{\mathrm{A}}(E) \mathrm{d}E$$

Energy transfer through dipole-dipole interaction:

- -Transfer rate proportional to oscillator strength donor and acceptor
- -Transfer rate decreases with 6th power of donor-acceptor distance (R⁻⁶)
- -Transfer rate proportional to spectral overlap integral

The rest are boring constants.....

Different types of energy transfer

Energy migration (back and forth)





Modelling energy transfer:

Historically Inokuti-Hirayama (single step) and Yokota-Tanimoto (energy migration) are used but do not take into account the crystal structure and actual distribution of donor-acceptor distances.

Better: Shell model to fit luminescence decay curves

Shells of neighbors surrounding each donor center

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Example: 20% acceptor concentration Assume random distribution 41%: $\Gamma = \gamma_{rad}$ 41%: $\Gamma = \gamma_{rad} + C_{ET}r_1^{-6}$

15%: $\Gamma = \gamma_{rad} + 2C_{ET}r_1^{-6}$ 3%: $\Gamma = \gamma_{rad} + 3C_{ET}r_1^{-6}$

0.2%:
$$\Gamma = \gamma_{\rm rad} + 4C_{\rm ET}r_1^{-6}$$

n = 4

x = 0.2



 $I(t) \propto e^{-\gamma_{rad}t} (1 - x + x e^{-C_{ET}r_1^{-6}t})^n$



Single

donor-acceptor pair

?





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One interaction parameter *C* for D-A transfer to fit luminescence decay curves of donor emission for ALL acceptor concentrations!



F. T. Rabouw, S. A. den Hartog, T. Senden and A. Meijerink *Nature Communications* **5**, 3610 (2014)

D. C. Yu, F. T. Rabouw, W. Q. Boon, T. Kieboom, S. Ye, Q. Y. Zhang and A. Meijerink, *Physical Review B* **90**, 165126 (2014)

For nearest neighbors energy transfer dominates!



Wavelength (nm)

Measure emission spectra from luminescent (nano)probe. Relative intensity of emission from higher energy level will increase with temperature.

Workhorse: Er³⁺

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Temperature-dependent luminescence NaYF₄:Yb18%,Er2%



Note: sharp line emission helps - easy to quantify ratio ²H_{11/2} and ⁴S_{3/2} emission

Analysis assuming Boltzmann equilibrium:

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Many two-level systems possible for Ln³⁺:



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Variation possible in energy separation and spectral window. Perfect for temperature sensing for a wide variety of applications for single ion, two-level systems.

Two '**buts**' – 'pitfalls':

- Boltzmann equilibrium not always realized - be careful!
- Only good sensitivity in limited temperature range, determined by ΔE



(b) $\Delta E_{21} \gtrsim \hbar \omega_{\text{eff}} \gg k_{\text{B}} T$ (a) $\Delta E_{21} \gg \hbar \omega_{\text{eff}} \gg k_{\text{B}} T$ (C) $\Delta E_{21} \gtrsim \hbar \omega_{\text{eff}} \gtrsim k_{\text{B}} T$ $k_{\rm nr}^{\rm em}(0)$ ΔE_{21} ΔE_{21} ΔE_{21} Energy Energy Energy $|1\rangle$ $|1\rangle$ $k_{2r}(0)$ $k_{2r}(0)$ $k_{1r}(0)$ $k_{1r}(0)$ $k_{2r}(T)$ $k_{1r}(T)$ $|0\rangle$ $|0\rangle$ $|0\rangle$ Non-Boltzmann (at RT) Boltzmann!! Example: Eu³⁺ Example: Nd³⁺ $k_{\rm nr}^{\rm em}(T) = g_1 k_{\rm nr}(0) (1 + \langle n_{\rm eff} \rangle)^p$ $k_{\rm nr}^{\rm abs}(T) = g_2 k_{\rm nr}(0) \langle n_{\rm eff} \rangle^p$

Boltzmann problem (a):



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Unusual T-dependent luminescence of NaYF₄:Eu³⁺



Large ${}^{5}D_{1} - {}^{5}D_{0}$ energy gap – with increasing temperature the relative emission intensity from the lower energy ${}^{5}D_{0}$ level increases with temperature – opposite to what is expected for Boltzmann behavior

R. G. Geitenbeek, H. W. de Wijn, A. Meijerink, *Phys. Rev. Applied* **2018**, *10*, 64006

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Adding cross relaxation:

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$$\frac{I_2}{I_1} = C * \frac{\frac{\alpha}{\tau} + M\rho m_2 n^p + CR_1}{\frac{\beta}{\tau} + M\rho m_1 (1+n)^p + CR_2}$$



R. G. Geitenbeek, H. W. de Wijn, A. Meijerink, *Phys. Rev. Applied* **2018**, *10*, 64006



- Old theories work!
- Cross relaxation can help to realize Boltzmann
- Dopant concentrations matter!



Boltzmann problem (b):



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T-dependent luminescence of Nd³⁺

Nd³⁺ (4f³): NIR emitter \rightarrow promising for *in vivo* thermometry!



Low Nd³⁺ concentration:

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Nice Boltzmann behavior, but poor absorption \rightarrow poor signal/noise Solution: increase Nd³⁺ concentration!



M. Suta, Ž. Antić, V. Đorđević, S. Kuzman, M. D. Dramićanin, A. Meijerink, Nanomaterials 2020, 10, 543.



Cross-relaxation spoils Boltzmann: faster depopulation of emitting levels makes that non-radiative rates coupling the two levels can no longer compete with population decay at $RT \rightarrow Boltzmann$ equilibrium requires higher T (> 500 K)

Performance of Thermometers

 Two figures of merit important: Absolute sensitivity (thermal response) S_a(T) and relative sensitivity S_r(T):

$$S_{\rm a}(T) = \left| \frac{\mathrm{d}R}{\mathrm{d}T} \right| \qquad \qquad S_{\rm r}(T) = \left| \frac{1}{R(T)} \frac{\mathrm{d}R}{\mathrm{d}T} \right| = \left| \frac{S_{\rm a}(T)}{R(T)} \right|$$

- For applications, it is important to maximize the thermal response: Small temperature changes are supposed to give a large response!
- Boltzmann thermometer:

$$S_{\rm r}(T) = \frac{\Delta E_{21}}{k_{\rm B}T^2}$$



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Performance two-level Boltzmann thermometer: Trade-off between normalized thermal response $\rho(r)$ (blue) and thermal sensitivity $\sigma(r)$ (red)

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10





Evolution of the relative temperature uncertainty in relation to $r = \Delta E_{21}/k_B T$ with (a) varying pre-factor C (at a given $\langle \mathcal{N} \rangle_{10}$) or (b) count number of the lower energetic emission (at a given C).

Performance depends on C, on total signal but also note that performance drops off fast outside the optimum window: single-ion two-level thermometers only work well in a limited temperature range! (note log-scale y-axis)

Interested in more theory on single-ion two-level thermometers?

A theoretical framework for ratiometric single ion luminescent thermometers Thermodynamic and kinetic guidelines for optimized performance

Markus Suta^{*} and Andries Meijerink, Advanced Theory and Simulations, almost accepted

$$R(T) = C \frac{g_2}{g_1} \frac{\alpha_{a2}}{\alpha_{a1}} \frac{\frac{\beta_{20}}{\beta_{10}C} \left(\frac{1}{\phi_1(0)} - 1\right) \left[1 + \kappa (2\langle n_{\text{eff}} \rangle + 1)\right] + \left(1 + \frac{\alpha_{a1}}{\alpha_{a2}}\right) \langle n_{\text{eff}} \rangle^p}{\left(\frac{1}{\phi_1(0)} - 1\right) \left[1 + \kappa (2\langle n_{\text{eff}} \rangle + 1)\right] + \left(1 + \frac{\alpha_{a2}}{\alpha_{a1}}\right) (1 + \langle n_{\text{eff}} \rangle)^p}$$





$$\lim_{T \to 0} R(T) = \lim_{r \to \infty} R(r) = \frac{g_2 \beta_{20}}{g_1 \beta_{10}} \cdot \frac{\alpha_{a2}}{\alpha_{a1}} \cdot \frac{\left(\frac{1}{\phi_1(0)} - 1\right)(1+\kappa)}{\left(\frac{1}{\phi_1(0)} - 1\right)(1+\kappa) + \left(1 + \frac{\alpha_{a2}}{\alpha_{a1}}\right)} := R(0)$$

 $(b)_{3}$

72 pages, 75 equations, 12 figures + SI

Everything you need to know about single-ion luminescence thermometry! (+ Webinar Markus Suta later in this Webinar series)

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r_ = 3.0

Alternative: Energy transfer thermometry

Sustain high performance over wider temperature range



Na(Y,Gd)F₄:Ho³⁺ x%: Competition between cross-relaxation ET (dependent on Ho-concentration) and multi-phonon relaxation (dependent on temperature) \rightarrow Optimize temperature accuracy for temperature window through concentration.

Thomas P. van Swieten, Dechao Yu, Ting Yu, Sander J.W. Vonk, Markus Suta, Qinyuan Zhang, Andries Meijerink, Freddy T. Rabouw Adv. Opt. Mater., under review

Deep fundamental understanding by modelling Universiteit Utrecht b а 10 С 10° 10^{0} **1 N**0 10² ms⁻¹ ⁵F₃ ms ${}^{5}F_{5}$ ${}^{5}S_{2} + {}^{5}F_{4}$ k / ms^{_} 2 Intensity / norm. Intensity / norm. Intensity / norm. 298 K 5 2 Оз 298 K 298 K 0-1 10-Ž 450 900 450 900 450 900 0 0-2-10-2= 10^{-2} T/K T/K T/K 373 K 723 K 473 K 10-3 10-3 10^{-3} 0.2 0.6 0.0 0.4 2 3 0.01 0.02 0.03 0 0.00Delay time / ms Delay time / ms Delay time / ms / 10⁻³ nm⁶ ms⁻¹ .____0 - 10 - 10 d e nm⁶ms⁻ 'n 10^{0} 10° E 10^{0} ${}^{5}S_{2} +$ ⁵F₃ nm⁶1 Intensity / norm. ${}^{5}F_{5}$ Intensity / norm. Intensity / norm. 10-1 10-1 20 20 20 40 40 0 40 10-2 10-2-|Ho³⁺ conc. / % Ho³⁺ conc. / % Ho³⁺ conc. / % 10- 10^{-3} 10-1 0.4 0.2 0.6 2 3 0.0 0 3 0 6 9 Delay time / ms Delay time / ms Delay time $/ \mu s$

Top figures: quantitative understanding of temperature dependence multi-phonon relaxation \rightarrow single fitting parameter for blue, green and red level **Bottom figures:** quantitative understanding of cross-relaxation by fitting luminescence decay curves with shell-model \rightarrow single CR parameter for each level



With parameters complete and accurate understanding/prediction of variation relative intensities blue, green and red emission for **all** Ho-concentrations at **all** temperatures.



Thomas P. van Swieten, Dechao Yu, Ting Yu, Sander J.W. Vonk, Markus Suta, Qinyuan Zhang, Andries Meijerink, Freddy T. Rabouw, Adv. Opt. Mater., under review

Energy transfer thermometry offers more flexibility and options to extend Universiteit Utrecht high performance over a wider range b d а С 30 1.5 18 10^{4} Ho³⁺ concentration / % 102 Ho³⁺ 30% 1.0 12-20 δ7 / norm. l_{red}/I_{green} S_r / % K⁻¹ 100 0.5 6 12% 10 Er³⁺ 10-2-0.5% 0.0 500 700 900 300 700 900 900 400 600 500 500 300 700 300

Temperature / K

Temperature / K

(b) Calculated relative sensitivities for a Ho³⁺ concentration of 4% (red), 8% (orange), 12% (yellow), 20% (green), and 30% (blue), as a function of temperature. The black solid line is the relative sensitivity of the ${}^{4}S_{3/2}$ and ${}^{2}H_{11/2}$ levels of Er³⁺ ions in the β -NaYF₄ host. (c) Calculated temperature uncertainties (δT). The same colour scheme applies as in (b).

Temperature / K

δT

800

Temperature / K

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What's different? Why useful?

Nanocrystalline



Microcrystalline

VS.

- Easy synthesis (shake and bake)
- High quantum yield (no high energy vibrations of ligands, less defects)
- More reproducible, better control over lanthanide doping, easier characterization

- Complex synthesis (glove box/ Schlenk line
- Lower quantum yield (high energy C-H and O-H of solvent/capping ligands quench luminescence)
- Issues with reproducibility, characterization requires more advanced techniques (e.g. TEM, synchrotron)

If you can do it with microcrystalline, don't bother with nano!



Lower quantum yield

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What's different? Why useful?

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Microcrystalline

vs. Nanocrystalline

- They are BIG

- They are small, similar in size to biomolecules
- No light scattering
- Stable colloidal solutions possible

If you need to probe processes/temperatures on the micrometer/ nanometer scale, if you need to probe inside cells, map temperature profiles with high spatial resolution, study dynamic processes in solutions: nanocrystals are your choice!

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Luminescence thermometry for in vivo imaging



Extensive research in biological systems – Near Infrared preferred because of superior penetration depth. Biological windows NIR-I, II and III are explored for lanthanide luminescence thermometry.

A. M. Smith, M. C. Mancini, S. Nie, *Nat. Nanotechnol.* 2009, *4*, 710 – 711.
E. Hemmer, A. Benayas, F. Légaré, F. Vetrone, *Nanoscale Horiz.* 2016, *1*, 168 – 184.

Today three other/new examples:



- High temperature probes for catalysis
- Measuring temperatures in microfluidic systems
- Mapping temperature distributions in micro-electronics





Sponsored by MCEC Gravity programme, with Robin Geitenbeek and Thomas van Swieten in cooperation with the groups of prof. Weckhuysen, prof. Van Blaaderen and prof. van de Berg)



Temperature nanoprobes for Catalysis

High T sensing, e.g. useful in catalysis to measure local temperatures at active sites and inside the reactor (instead of the reactor surface)





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Temperature-dependent luminescence NaYF₄:Yb18%,Er2%



R. G. Geitenbeek, P. T. Prins, W. Albrecht, A. van Blaaderen, B. M. Weckhuysen, A. Meijerink, *J. Phys. Chem. C* **2017**, *121*, 3503.

Temperature stability – not stable above 600 K









High temperature stability up to at least 900 K

R. G. Geitenbeek, P. T. Prins, W. Albrecht, A. van Blaaderen, B. M. Weckhuysen, A. Meijerink, *J. Phys. Chem. C* **2017**, *121*, 3503.

Cycling experiments



Stable and reproducible through many temperature cycles



NaYF₄@SiO₂ form temperature stable nanoprobes to 900 K and beyond \rightarrow extend temperature range of nanothermometry and other remote sensing techniques with high resolution

Catalytic reactions

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Methanol to olefin reaction – follow T and reaction products as f(time)

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R. G. Geitenbeek, A.-E. Nieuwelink, T. S. Jacobs, B. B. V. Salzmann, J. Goetze, A. Meijerink, B. M. Weckhuysen, *ACS Catal.* **2018**, *8*, 2397.

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Microfluidics – high resolution T-sensing



Built-in T-sensors Built-in heaters

Temperature variation in microfluidic reactor? How to probe T inside microchannels?

R. G. Geitenbeek, J. C. Vollenbroek, H. M. H. Weijgertze, C. B. M. Tregouet, A.-E. Nieuwelink, C. L. Kennedy, B. M. Weckhuysen, D. Lohse, A. van Blaaderen, A. van den Berg, M. Odijk, A. Meijerink, *Lab Chip* **2019**, *19*, 1236



Three types of microfluidic devices to demonstrate T-sensing

Schematic T-sensing experiments in Universiteit Utrecht three microfluidic devices





2. Chemical reaction

Reaction in microfluidic device between 5M NH_3 and 4 M HCl, both with $NaYF_4$:Yb,Er NCs capped with silica added:

Inlet HCl Inlet NH₃

- Rise in temperature can be measured in situ to be around 15 °C
- Exothermic reactions can cause deviation between temperature in channel and set temperature on chip.

Flow of NaYF₄:Er,Yb NCs in hexane through microchannel over temperature gradient: high resolution mapping of T in channel, spatial resolution of 9 μ m.

Outlook: brighter (larger) particles and higher detection efficiency will give resolution below $1 \mu m$.

Temperature mapping in microheaters

Used in electron microscopy and synchrotron for single particle measurements:

How homogeneous is the temperature? Role gases or vacuum around? Interested manufacturer: DENS Solutions – adapt design to improve temperature homogeneity – nice cooperation with industry.

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Procedure:

1. Deposit thin layer of NaYF4:Er,Yb NCs on microheater

2. Assemble it all to fit in a confocal microscope

 3. Vary set-point and map temperature distribution by measuring Er³⁺ emission spectra

I. K. Ravenhorst, R. G. Geitenbeek, M. J. Eerden, J. van Tijn Omme, H. H. Peréz Garza, F. Meirer, A. Meijerink, B. M. Weckhuysen, *ChemCatChem* **2019**, *11*, 5505
Seems simple: supporting information tells the real stories:







Improvements in progress!!

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- Insight in basics of lanthanide luminescence, important for understanding and development of nano T-probes
- Lanthanides ions are versatile probes for luminescence nanothermometry, both spectral and temperature window
- Single-ion Boltzmann thermometry is robust, reliable, reproducible but has limitations and we now understand!
- Concentration matters! Even for single-ion two-level thermometry it is crucial to know/optimize/specify the dopant concentration.
- Energy transfer luminescence thermometry offers opportunities and challenges and requires more research
- New developments allow high temperature sensing and mapping and open new areas of application.

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